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# United States Patent and Trademark Office

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Vuginia 22313-1450 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/731,784	12/09/2003	2655	633	LUCE001US0	4	38	5

**CONFIRMATION NO. 4903** 

FILING RECEIPT

\*OC000000012119435\*

HULSEY & CALKINS, L.L.P. 8911 N. CAPITAL OF TEXAS HWY. SUITE 3200 AUSTIN, TX 78759

Date Mailed: 03/17/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Robert G. McNiece, Austin, TX;

**Assignment For Published Patent Application** 

Lucere, LP;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/463,744 04/18/2003

Foreign Applications

If Required, Foreign Filing License Granted: 03/17/2004

Projected Publication Date: 10/21/2004

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

RECEIVED

Title

generating

Apparatus for creating a multi-dimensional data signal



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.: LUCE001US0

# TITLE: APPARATUS FOR GENERATING A MULTI-DIMENSIONAL DATA SIGNAL

INVENTORS: Robert G. McNiece

### SUBMITTED BY:

Hulsey, Grether, Fortkort & Webster, LLP 8911 N. Capital of Texas Hwy., Suite 3200 Austin, Texas 78759 (512) 795-0095 - Telephone (512) 795-9905 - Facsimile

## CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR § 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service Express Mail Service under 37 C.F.R. §1.10 addressed to: Mail Stop Provisional Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22213-1450, on this the day of December, 2003.

Express Mailing Number: EV 417743476 US

Mary Schnaiter



EV 41//	43470 US	SB/05		
UTILITY	Attorney Docket Number:	LUCE001US0		
PATENT APPLICATION	First Named Inventor or Application Identifier:	Robert G. McNiece		
TRANSMITTAL	Title: Apparatus for Generating a Multi-Dimensional Data Signa			
(Only for new non-provisional applications under 37 CFR 153(b))	Express Mail Label No.: EV 417743476 US			
APPLICATION ELEMENTS  See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450			
XX Fee Transmittal Form (submitted in duplicate)     XX Applicant Claims Small Entity Status	8 Assignment papers (cover sheet & document(s)) 9 CFR 3.73(b) Statement (when there is an assignee)			
3. XX Specification: Total Pages: 34	Power of Attorney			
4. XX Drawing(s)(35 U.S.C. 113): Total Sheets: 4	10 English Translation Document (if applicable)			
5. XX Oath or Declaration: Total pages: 2	11 Information Disclosure Statement. (IDS)/PTO-			
a. XX Newly executed (original or copy)	1449.			
b Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)	Copies of IDS citations  12 Preliminary Amendment  13. XX Return Receipt Postcard. (MPEP 503) (should be specifically itemized.)  14 Certified Copy of Priority Document(s) (if foreign priority is claimed.)  15 Nonpublication Request under 35 U.S.C.  122(b)(2)(B)(i). Must attach form PTO/SB/35 or its equivalent.			
i. <u>Deletion of Inventor(s)</u> . Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).				
6 Application Data Sheet. 37 CFR 1.76 7 CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)				
	16 Credit Card Authorization for Payment			
17. If a Continuing Application: (check appropriate box and su amendment, or in an Application Data Sheet under 37 CFR 1.76:		ow and in a preliminary		
Continuation Divisional Continuati	ion-in-part of prior application	n No		
Prior application Information: Examiner	Group/Art Unit			
For Continuation or Divisional only: The entire disclosure of the supplied under Box 5b, is considered as being part of incorporated by reference. The incorporation can only be the submitted application parts.	the disclosure of the accompan	ying application and is hereby		
Correspondence Address:	Correspondence Address:			
37,141 Customer Number or Bar Code Label:	John A. Fortkort 8911 N. Capital of Texas Hwy., Suite 3200			
	Austin, Texas 78759 Telephone: 512/795-0095 Facsimile: 512/795-9905			
In the	1 2 - 9			
John A. Fortkort, Reg. No. 38,454	Date	- <del></del>		



ey Docket No.: LUCE001US0

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert G. McNiece

Serial No.:

10/731,784

Filing Date:

December 9, 2003

Examiner:

Tran, Thang V.

Art Unit:

2653

Title:

Apparatus for Generating a Multi-Dimensional Data Signal

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir:

The Filing Receipt for the above-identified application contains an error in the title. Applicant respectfully requests that a Corrected Filing Receipt be issued showing the correct title as "Apparatus for Generating a Multi-Dimensional Data Signal".

The following supporting documentation is submitted in support of this request:

- 1. Copy of Application cover sheet as originally filed;
- 2. Copy of Patent Application Transmittal; and
- 3. Copy of page one of the Inventor's Declaration.

A copy of the Filing Receipt with corrections shown in red is also attached.

It is believed that no fee is due with the filing of this change of document. However, if there are any fees associated with the filing of this document, the Commissioner is hereby authorized to charge or credit any overpayment to the deposit account of Hulsey Grether + Fortkort, LLP, Deposit Account No. 50-2726.

Respectfully submitted,

HULSEY GRETHER + FORTKORT, LLP

Date: 8-18-04

Johh A. Fartkort Reg No. 38.454

N. Capital of Texas Hwy., Suite 3200

Austin, Texas 78759

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# DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

# APPARATUS FOR GENERATING A MULTI-DIMENSIONAL DATA SIGNAL

the specification of	which (check on	e)	
is attached here	to.		
	on Serial No ended on (if app		<del></del>
			. I the contents of the above-identified nendment referred to above.
			which is material to the patentability ederal Regulations, §1.56.
any foreign applic	ation(s) for pate ny foreign applic	nt or inventor's cert	tle 35, United States Code, §119 of tificate listed below and have also nventor's certificate having a filing imed:
Pri	or Foreign Appli	cation(s):	Priority Claimed  ☐ Yes ☐ No
(Number)	(Country)	(Day/Month/Yea	or)
States application (a this application is a by the first paragridisclose information Code of Federal I	s) listed below are not disclosed in the raph of Title 35, on material to the Regulations, §1.5	nd, insofar as the sub the prior United States United States Code to patentability of this be which occurred be	d States Code, §120 of any United ject matter of each of the claims of application in the manner provided, §112, I acknowledge the duty to application as defined in Title 37, etween the filing date of the prior ate of this application:
60/463,744 (Application	<u>A</u> Serial #)	pril 18, 2002 (Filing date)	Provisional (Status)
		ements made herein	of my own knowledge are true and

that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so